



Ref: FOIA Reference 2020/21-268

Date: 27<sup>th</sup> October 2020

Email [foi@uhn.nhs.uk](mailto:foi@uhn.nhs.uk)

Dear

I am writing in response to your email dated 5<sup>th</sup> October 2020 (received into our office 6<sup>th</sup> October) requesting information under the Freedom of Information Act (2000) regarding data security.

On 6<sup>th</sup> October 2020 we contacted you via email as we required clarification on which NHS Trust you wanted information for as of 31<sup>st</sup> October 2014 University Hospital of North Staffordshire NHS Trust (UHNS) ceased to exist. As of 1<sup>st</sup> November 2014 Royal Stoke University Hospital and County Hospital (Stafford) became known as University Hospitals of North Midlands NHS Trust (UHNM)

On the same day you replied via email with the following:

*"My apologies, I must update my records. Please can you provide the information requested for University Hospitals of North Midlands NHS Trust instead?"*

As of 1<sup>st</sup> November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

**Q1 Under the Freedom of Information Act, I would like to ask for information University Hospital of North Staffordshire NHS Trust's data security spending & training on behalf of Redscan Ltd.**

**Please could you share the following information in the format of an CSV, XLS, XLXF file - or any other format that may be opened via Excel or Google Spread sheets. If you wish to add more context or information, please do so in a separate document or in the body of an email.**

**1. NHS Trust name**

A1 As per letter head

**Q2 Region - please select from the following: South East, London, North West, East of England, West Midlands, South West, Yorkshire and the Humber, East Midlands, North East, Wales, Scotland, Northern Ireland**

A2 North Midlands

**Q3 The total number of full-time and part-time employees employed by your Trust (as of 1st October 2020 or latest figures available)**

A3 The Headcount of Full and Part-time employees at 30/09/20 was:

- Full = 6084
- Part =5094

**Q4 The total number of full-time and part-time employees employed by your Trust with professional data security / cybersecurity qualifications (as of 1st October 2020 or latest figures available) - Common qualifications may include any cyber or IT security related qualifications such as CISSP, SSCP, CSA, CEH, CISA, CISM, Security+**

A4 Release of this information potentially puts the Trust at risk and therefore is exempted under sections 31(1)(a) the prevention or detection of a crime and 38(1)(b) of the FOI Act. This section states: (1) Information is exempt information if its disclosure under this Act would, or would be likely to— (b) endanger the safety of any individual.

The Trust determines that to disclose this information would prejudice the Trust's ability to prevent and react to any cyber-attack. Cyber-attacks present a real risk of harm to members of staff and patients. The Trust has completed the public interest test and this has to been upheld.

**Q5 The total number of full-time and part-time employees employed by your Trust who have completed cyber security training over the last 12 months (prior to 1st October 2020 or latest figures available)**

A5 As per answer 4

**Q6 How much money (in pounds sterling) has been spent on cyber security training during the last 12 months (prior to 1st October 2020 or latest figures available) this may include GDPR-related training**

A6 As per answer 4

**Q7 How many penetration tests has your organisation had from an external third-party in the last 12 months? (Prior to 1st October 2020 or latest figures available)**

A7 As per answer 4

**Q8 How many data breaches did your Trust report to the ICO between 1st January 2019 and 1st October 2019?**

A8 As per answer 4

**Q9 How many data breaches did your Trust report to the ICO between 1st January 2020 and 1st October 2020?**

|

A9 As per answer 4

\*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

***UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.***

Where the Trust owns the copyright in information provided, you may re-use the information in line with the conditions set out in the Open Government Licence v3 which is available at <http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/>. Where information was created by third parties, you should contact them directly for permission to re-use the information.

An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

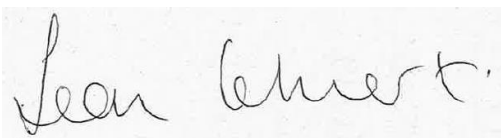
Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via [www.ico.org.uk](http://www.ico.org.uk).

If following review of the responses I can be of any further assistance please contact my secretary on 01782 671612.

Yours,



Jean Lehnert  
**Data, Security & Protection Manager**