



Ref: FOIA Reference 2022/23-131

Date: 22<sup>nd</sup> July 2022

Email [foi@uhnm.nhs.uk](mailto:foi@uhnm.nhs.uk)

Dear

I am writing in response to your email dated 13<sup>th</sup> June 2022 requesting information under the Freedom of Information Act (2000) regarding R&D spend.

Under section 8(1) of the FOI Act, requestors are required to provide a full name in order to make a request valid. The Information Commissioner states that a "title and/or first name with surname satisfies the requirement for provision of a real name, as does the use by a female applicant of her maiden name". Therefore, in order to proceed with your request can you please supply us with a valid name? Once we have received this we will continue to process your request. If we do not hear from you within the next two months we will assume you no longer require this information and will close your request.

On the same day you supplied your name

**The University Hospitals of North Midlands Trust is committed to the Freedom of Information Act 2000.**

**However, the NHS is facing unprecedented challenges relating to the coronavirus (COVID-19) pandemic at the current time. Understandably, our resources have been diverted to support our front-line colleagues who are working tremendously hard to provide care for our patients, and to those in need of our services.**

**We strive to be transparent and to work with an open culture. But at this time, whilst care of our patients and the safety of our staff takes precedent, it is likely that responses to some requests for information will be delayed. We apologise for this position in advance, and will endeavour to provide you with as much information as we can, as soon as we are able.**

**The Information Commissioners Office has recognised the current situation in the NHS.**

As of 1<sup>st</sup> November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

- Q1 Could you kindly provide me with the following information?**  
**Research income obtained from external bodies for commercial or non-commercial research to The UHNM Trust R&D department done by clinicians in:**
- Elderly care

- Diabetes and Endocrinology
- Colorectal Surgery
- Gastroenterology and Obstetrics
- Gynaecology over the last 10 years.
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Could you please provide data separately for each department contributing to the research income displayed separately for each financial year?

A1 See below:

Year	Respiratory	Elderly	Diabetes	Gastro	Colorectal	Obs&Gynae	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	0
13/14	66,786	0	822	17	0	32,715	100,340
14/15	20,094	0	3,465	0	0	86,681	110,240
15/16	50,491	0	1,310	993	0	95,385	148,180
16/17	65,152	0	9,414	18,123	0	45,712	138,401
17/18	20,834	0	0	37,402	0	7,669	65,905
18/19	51,585	0	7,054	15,221	0	29,724	103,584
19/20	31,569	4,675	33,022	31,216	0	35,590	136,072
20/21	7,796	0	2,964	3,513	0	1,345	15,618
21/22	7,185	0	0	8,286	0	289	15,760
Totals :	£321,493	£4,675	£58,051	£114,770	£0	£335,111	£834,100

Q2 Could you also please provide data about R&D directorate internal funds spent for research by these departments or clinicians from these departments over the last 10 years separately?

We are asking for this information from all NHS trusts to understand research activity in these specialties.

The format is suggested to assist you:

Annual Research Income

Departments:

- Respiratory Medicine
- Elderly Care
- Diabetes and Endocrinology
- Gastroenterology
- Colorectal Surgery
- Obstetrics and Gynaecology

For each department Subdivide as: External Income Received (GBP) and Study Funded by RWT (GBP)

- 2010-11
- 2011-12
- 2012-13
- 2013-14

- 2014-15
- 2015-16
- 2016-17
- 2017-18
- 2018-19
- 2019-20
- 2020-21
- 2021-22

A2 See below:

Respiratory								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	28,532	38,255	0	0	0	0	0	£66,786
14/15	9,552	9,263	114	0	0	1,165	0	£20,094
15/16	24,165	20,424	954	0	0	2,477	2,470	£50,491
16/17	33,867	22,792	699	1,607	0	2,423	3,764	£65,152
17/18	1,725	2,389	351	3,047	855	3,164	9,304	£20,834
18/19	17,671	18,436	2,329	3,364	980	8,344	461	£51,585
19/20	2,093	18,832	100	1,152	900	5,216	3,276	£31,569
20/21	1,030	3,067	168	959	337	1,880	354	£7,796
21/22	2,095	2,672	0	1,446	537	409	25	£7,185
Totals :	£120,730	£136,130	£4,714	£11,577	£3,609	£25,078	£19,655	£321,493
Elderly								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	0	0	0	0	0	0	0	£0
14/15	0	0	0	0	0	0	0	£0
15/16	0	0	0	0	0	0	0	£0
16/17	0	0	0	0	0	0	0	£0
17/18	0	0	0	0	0	0	0	£0
18/19	0	0	0	0	0	0	0	£0
19/20	0	1,354	93	706	314	0	2,207	£4,675
20/21	0	0	0	0	0	0	0	£0
21/22	0	0	0	0	0	0	0	£0
Totals :	£0	£1,354	£93	£706	£314	£0	£2,207	£4,675
Diabetes								

Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	296	482	44	0	0	0	0	£822
14/15	707	1,699	58	0	0	1,000	0	£3,465
15/16	20	880	0	0	0	410	0	£1,310
16/17	211	5,076	9	0	0	257	3,860	£9,414
17/18	0	0	0	0	0	0	0	£0
18/19	224	4,596	0	0	0	2,234	0	£7,054
19/20	808	15,587	299	559	178	14,153	1,438	£33,022
20/21	603	0	9	419	133		1,800	£2,964
21/22	0	0	0	0	0	0	0	£0
Totals :	£2,870	£28,320	£420	£978	£311	£18,054	£7,098	£58,051
<b>Gastro</b>								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	17	0	0	0	0	0	0	£17
14/15	0	0	0	0	0	0	0	£0
15/16	0	0	0	0	0	993	0	£993
16/17	2,828	8,588	626	715	0	450	4,916	£18,123
17/18	8,471	8,282	6	11,042	3,511	450	5,640	£37,402
18/19	226	10,908	137	309	105	203	3,334	£15,221
19/20	2,301	5,883	568	2,118	1,324	4,703	14,320	£31,216
20/21	653	623	52	831	263	1,091	0	£3,513
21/22	247	281	15	395	118	3,021	4,209	£8,286
Totals :	£14,742	£34,565	£1,402	£15,410	£5,321	£10,911	£32,419	£114,770
<b>Colorectal</b>								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	0	0	0	0	0	0	0	£0
14/15	0	0	0	0	0	0	0	£0
15/16	0	0	0	0	0	0	0	£0
16/17	0	0	0	0	0	0	0	£0
17/18	0	0	0	0	0	0	0	£0

18/19	0	0	0	0	0	0	0	£0
19/20	0	0	0	0	0	0	0	£0
20/21	0	0	0	0	0	0	0	£0
21/22	0	0	0	0	0	0	0	£0
Totals :	£0	£0	£0	£0	£0	£0	£0	£0
<b>Obs. &amp;Gynae</b>								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	1,500	28,350	0	0	0	1,365	1,500	£32,715
14/15	46,694	38,121	1,769	0	0	97	0	£86,681
15/16	35,109	47,404	12,872	0	0	0	0	£95,385
16/17	18,672	14,668	4,424	16	0	7,298	635	£45,712
17/18	942	2,511	51	967	359	176	2,663	£7,669
18/19	4,540	15,250	921	2,366	885	1,245	4,517	£29,724
19/20	4,576	8,951	45	6,838	2,093	13,088	0	£35,590
20/21	179	621	47	307	96	94	0	£1,345
21/22	62	68	10	94	30	25	0	£289
Totals :	£112,274	£155,943	£20,140	£10,588	£3,463	£23,388	£9,315	£335,111
<b>Summary of all the above Specialties</b>								
Year	PI	Nurse	Admin	Ohds	PI Ohds	Non Pay	Set Up	Totals
10/11	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
11/12	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
12/13	N/A	N/A	N/A	N/A	N/A	N/A	N/A	£0
13/14	30,345	67,086	44	0	0	1,365	1,500	£100,340
14/15	56,953	49,083	1,942	0	0	2,262	0	£110,240
15/16	59,295	68,708	13,827	0	0	3,880	2,470	£148,180
16/17	55,577	51,125	5,758	2,337	0	10,429	13,175	£138,401
17/18	11,138	13,182	407	15,056	4,725	3,790	17,607	£65,905
18/19	22,661	49,190	3,386	6,039	1,969	12,026	8,313	£103,584
19/20	9,778	50,606	1,106	11,373	4,809	37,160	21,241	£136,072
20/21	2,465	4,311	275	2,517	829	3,065	2,154	£15,618
21/22	2,404	3,021	25	1,936	685	3,455	4,234	£15,759
Totals :	£250,616	£356,313	£26,769	£39,258	£13,018	£77,432	£70,694	£834,100

The income received from research studies within the various specialties has been spent, as detailed above.

The time spent by the clinicians on the research studies (PI) is paid back to their Divisions to backfill their time. Nurse and Admin income helps to pay for our R&I clinical staff working on these studies.

The PI's also receive a share (33%) of any overheads generated by their studies which they can spend on research related items approved by R&I. The balance of the overheads generated by the studies (67%) is used to help pay for the R&I non clinical staff, as are any study set up fees and archiving fees received for the various studies.

The other income received from the various research studies is classed as non-pay and is used to pay primarily for the Support Services involvement with the research studies, Pathology and Radiology, plus a small amount of Pharmacy, as they usually invoice for their own fees from the study sponsors directly, plus any other non-pay costs associated with the various research studies.

You can see that the total income received in the table for our Answer 1, £834,100 (highlighted in green), reconciles exactly to the expenditure reported in our Answer 2.

\*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

***UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.***

Where the Trust owns the copyright in information provided, you may re-use the information in line with the conditions set out in the Open Government Licence v3 which is available at <http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/>. Where information was created by third parties, you should contact them directly for permission to re-use the information.

An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

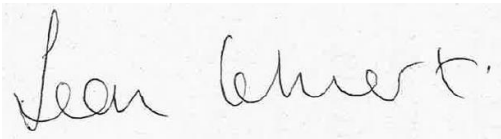
Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via [www.ico.org.uk](http://www.ico.org.uk).

If following review of the responses I can be of any further assistance please contact my secretary on 01782 671612.

Yours,



Jean Lehnert  
**Data, Security & Protection Manager**