



Ref: FOIA Reference 2022/23-694

Date: 24th March 2023

Email foi@uhnm.nhs.uk

Dear

I am writing to acknowledge receipt of your email dated 24th February 2023 requesting information under the Freedom of Information Act (2000) regarding Data Privacy Compliance Tools

As of 1st November 2014 University Hospitals of North Midlands NHS Trust (UHM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

**Q1 Does your organisation use any applications or software to record of Processing Activity (ROPA)?
If so, please state the product name(s) and version numbers(s) (if known)**

A1 Microsoft SharePoint

**Q2 Does your organisation use any applications or software to support preparation for, or maintenance of ISO 27001 and/or ISO 27701 compliance?
If so, please state the product name(s) and version numbers(s) (if known)**

A2 No

**Q3 Does your organisation use any applications or software associated with data breach management?
If so, please state the product name(s) and version numbers(s) (if known)**

A3 Datix

**Q4 Does your organisation use any applications or software for Policy Management?
If so, please state the product name(s) and version numbers(s) (if known)**

A4 The response refers to Data, Security and Protection policy management. The team uses Microsoft Excel to record policies and support with their management. Note that these are on the Trust intranet for staff

**Q5 Does your organisation use any applications or software associated with handling FOI requests?
If so, please state the product name(s) and version numbers(s) (if known)**

A5 Microsoft Excel spread sheets

Q6 Does your organisation have any plans to explore the market regarding data privacy compliance tools? If so, please describe

A6 The Trust is not currently exploring this.

Q7 Who is responsible for procuring ICT applications that support your organisation's Information Governance teams? A job-title & directorate will be sufficient

A7 Head of Data, Security & Protection/ DPO

*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.

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An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via www.ico.org.uk.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 671612.

Yours,



Rachel Montinaro
Data Security and Protection Manager - Records