Ref: FOIA Reference 2019/20-475

Date: 15th November 2019

Dear

I am writing in response to your email dated 11th November 2019 requesting information under the Freedom of Information Act (2000) regarding of incidents that have been recorded on DATIX

As of 1st November 2014 University Hospitals of North Midlands NHS Trust (UHNM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

Q1   I would like to request information on the number of incidents that have been recorded on the DATIX system in each of the last three financial years and broken down by category of incident.

A1   Please see below: April 2017 to March 2018

Stage of Care	#
Implementation of care or ongoing monitoring/review	7860
Accident that may result in personal injury	3534
Medication	1940
Access, Appointment, Admission, Transfer, Discharge	1877
Infrastructure or resources (staffing, facilities, environment)	1303
Treatment, procedure	1206
Security	1063
Abusive, violent, disruptive or self-harming behaviour	1034
Clinical assessment (investigations, images and lab tests)	978
Patient Information (records, documents, test results, scans)	821
Consent, Confidentiality or Communication	575
Labour or Delivery	495
Diagnosis, failed or delayed	313
Medical device/equipment	302
(blank)	128
Financial loss	111
Anaesthesia	67

April 2018 to March 2019

Category	#
Tissue Viability (Pressure Ulcers)	7463
Patient Falls	2478
Medication	1921
Patient Flow (Access, admission, transfer, discharge)	1109
Clinical assessment (including diagnosis, images and lab tests)	1099
Abusive, violent, disruptive or self-harming behaviour	877
Treatment, procedure	864
Implementation of care and ongoing monitoring / review	776
Documentation (including electronic & paper records, identification and charts)	754
Accident / Incident that has resulted in or could have resulted in personal injury	743
Security	577
Staffing	538
Data Security & Protection	525
Maternity Triggers	504
Infection Prevention	464
Service Disruptions (beds, environment, infrastructure)	441
Communication Failure, Consent, Confidentiality	347
Medical Device/equipment	322
Safeguarding/Protection and Mental Health	257
Absconsion / Missing Patient	235
Neonatal Triggers	151
Blood Transfusion	130
Safer surgery	123
Patient Accident	100
Mortuary and Care after death	81
Nutrition Food/Meals from Kitchen	62
Security (Patient)	60
Fires, Fire Alarms and Fire Procedures	44
Anaesthesia	39
Medical Gases/Oxygen	38
(blank)	27
Never Event	6

April 2019 to November 11th 2019:

With regard to this part of your request, we regret to inform you that University Hospitals of North Midlands NHS Trust takes the view that some of this information is exempt from disclosure in accordance with section 41(1)(a) and (b) of the 2000 Act. The reason why the Trust takes this view is because the numbers are low. With this, and any other publicly

available information, the public could identify the deceased or other living persons identifiable through association. In addition we are also applying Section 40(2) of the FOI Act. *Personal information*. However as the Trust is committed to openness and transparency we can band the numbers, and have provided this below.

This exemption is an absolute exemption and therefore no consideration of the public interest test is needed.

Category	#
Tissue Viability (Pressure Ulcers)	5166
Patient Falls	1568
Medication	1317
Patient Flow (Access, admission, transfer, discharge)	712
Clinical assessment (including diagnosis, images and lab tests)	651
Abusive, violent, disruptive or self-harming behaviour	587
Treatment, procedure	550
Implementation of care and ongoing monitoring / review	467
Accident / Incident that has resulted in or could have resulted in personal injury	444
Maternity Triggers	444
Infection Prevention	411
Staffing	364
Data Security & Protection	362
Documentation (including electronic & paper records, identification and charts)	335
Medical Device/equipment	248
Security	234
Service Disruptions (beds, environment, infrastructure)	215
Safeguarding/Protection and Mental Health	197
Communication Failure, Consent, Confidentiality	196
Absconsion / Missing Patient	164
Blood Transfusion	83
Neonatal Triggers	62
Mortuary and Care after death	59
Patient Accident	55
Security (Patient)	50
Nutrition Food/Meals from Kitchen	44
Safer surgery	34
Fires, Fire Alarms and Fire Procedures	29
(blank)	20
Anaesthesia	16
Medical Gases/Oxygen	13
Never Event	<5 section 41 and 40

	exemptions as detailed above.

*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.

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An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.
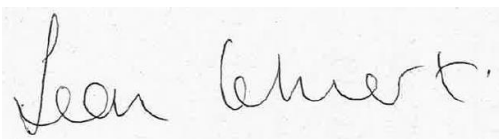
Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via www.ico.org.uk.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 676474.

Yours,

Jean Lehnert