



Ref: FOIA Reference 2021/22-281

Date: 27th August 2021

Email foi@uhnm.nhs.uk

Dear

I am writing to acknowledge receipt of your email dated 20th August 2021 requesting information under the Freedom of Information Act (2000) regarding data breaches.

As of 1st November 2014 University Hospitals of North Midlands NHS Trust (UHM) manages two hospital sites – Royal Stoke University Hospital, and County Hospital (Stafford). Therefore the response below is for the two sites combined from that date where appropriate.

Q1 I would like to submit a Freedom of Information request. I would like to receive information about the number of data breaches your NHS foundation has experienced. This can be, for example, an email sent out to the wrong recipient, fail to BCC in an email or other human errors that caused data breach.

Can I please receive the number of data breaches for the past 5 financial years meaning financial year:

- **2020/2021**
- **2019/2020**
- **2018/2019**
- **2017/2018**
- **2016/2017.**

Can the information supplied be broken down by year?

A1 Response below:

- | | | |
|---|-----------|---|
| • | 2020/2021 | 1 |
| • | 2019/2020 | 0 |
| • | 2018/2019 | 3 |
| • | 2017/2018 | 2 |
| • | 2016/2017 | 2 |

Please note these are incidents that have resulted in a breach and hence reported to the ICO as per NHSD reporting guidance, also note this information is available via the Trust website – annual reports

*Please note that any individuals identified do not give consent for their personal data to be processed for the purposes of direct marketing.

UHNM NHS Trust is a public sector body and governed by EU law. FOI requestors should note that any new Trust requirements over the EU threshold will be subject to these regulations and will be advertised for open competition accordingly.

Where the Trust owns the copyright in information provided, you may re-use the information in line with the conditions set out in the Open Government Licence v3 which is available at <http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/>. Where information was created by third parties, you should contact them directly for permission to re-use the information.

An anonymised copy of this request can be found on the Trust's disclosure log, please note that all requests can be found at the following link: <http://www.uhnm.nhs.uk/aboutus/Statutory-Policies-and-Procedures/Pages/Freedom-of-Information-Disclosure-Log.aspx>

This letter confirms the completion of this request. A log of this request and a copy of this letter will be held by the Trust.

If you have any queries related to the response provided please in the first instance contact my office.

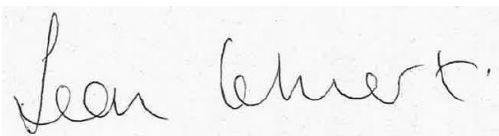
Should you have a complaint about the response or the handling of your request, please also contact my office to request a review of this. If having exhausted the Trust's FOIA complaints process you are still not satisfied, you are entitled to approach the Information Commissioner's Office (ICO) and request an assessment of the manner in which the Trust has managed your request.

The Information Commissioner may be contacted at:

Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF or via www.ico.org.uk.

If following review of the responses I can be of any further assistance please contact my secretary on 01782 671612.

Yours,



Jean Lehnert
Data, Security & Protection Manager



University Hospitals
of North Midlands
NHS Trust

